

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

Name of entity	Sumatra Copper & Gold plc
ABN	14 136 694 267

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

Name of Director	Gavin Caudle
Date of last notice	28 April 2016

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

Direct or indirect interest	Indirect
Nature of indirect interest (including registered holder) <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	Provident Minerals Pte Ltd - (Director and shareholder)
Date of change	30 January 2017

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+ See chapter 19 for defined terms.

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<p>No. of securities held prior to change</p>	<p><b>Provident Minerals Pte Ltd</b> (Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 232,750,037 CHESSE Depository Interests.</li> <li>• 12,045,000 unquoted Warrants each exercisable into 1 CHESSE Depository Interest at an exercise price of A\$0.06 each on or before 7 April 2017.</li> <li>• 9,506,557 unquoted Warrants each exercisable into 1 CDI at an exercise price of A\$0.057 each on or before 7 August 2017.</li> <li>• 3,500,000 convertible notes; face value of US\$3,500,000; A0.057; 12/11/2017.</li> </ul> <p><b>HSBC Custody Nominees (Australia) Limited</b> (beneficial holder is Provident Minerals Pte Ltd, a company which G. Caudle is a Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 5,138,620 CHESSE Depository Interests.</li> </ul>
<p>Class</p>	<p>CHESSE Depository Interests (CDIs) and underlying fully paid ordinary shares.</p>
<p>Number acquired</p>	<p>78,100,497 CDIs and underlying fully paid ordinary shares.</p>
<p>Number disposed</p>	<p>Nil.</p>
<p>Value/Consideration  <small>Note: If consideration is non-cash, provide details and estimated valuation</small></p>	<p>\$0.023 per CDI.</p>

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<p>No. of securities held after change</p>	<p><b>Provident Minerals Pte Ltd</b> (Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 310,850,534 CHESSE Depository Interests.</li> <li>• 12,045,000 unquoted Warrants each exercisable into 1 CHESSE Depository Interest at an exercise price of A\$0.06 each on or before 7 April 2017.</li> <li>• 9,506,557 unquoted Warrants each exercisable into 1 CDI at an exercise price of A\$0.057 each on or before 7 August 2017.</li> <li>• 3,500,000 convertible notes; face value of US\$3,500,000; A0.057; 12/11/2017.</li> </ul> <p><b>HSBC Custody Nominees (Australia) Limited</b> (beneficial holder is Provident Minerals Pte Ltd, a company which G. Caudle is a Director and shareholder) –</p> <ul style="list-style-type: none"> <li>• 5,138,620 CHESSE Depository Interests.</li> </ul>
<p><b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back</p>	<p>Issue of 78,100,497 CDIs to Provident Minerals Pte Ltd in a capital raising as announced on 27 January 2017 and approved by shareholders on 13 December 2016.</p>

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

Detail of contract	N/A
Nature of interest	N/A
Name of registered holder (if issued securities)	N/A
Date of change	N/A
No. and class of securities to which interest related prior to change Note: Details are only required for a contract in relation to which the interest has changed	N/A
Interest acquired	N/A

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Interest disposed	N/A
Value/Consideration Note: If consideration is non-cash, provide details and an estimated valuation	N/A
Interest after change	N/A

**Part 3 – +Closed period**

Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?	No
If so, was prior written clearance provided to allow the trade to proceed during this period?	N/A
If prior written clearance was provided, on what date was this provided?	N/A

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